

Index

The entries refer to paragraphs, not pages.

A

- Abuse of a dominant position 2, 13, 45, 55(fn.), 109, 135, 136, 139, 154, 195, 202, 206, 227, 282, 286, 293, 297, 313, 314, 318, 321, 337, 342
- Access for ships 2, 136, 139, 245
- Access to port facilities, installations and equipment 134-138
- Activities directly exposed to competition (competitive market derogation) 207, 221
- Affordability (public service obligation) 240
- Airport 13, 30, 57(fn.), 58(fn.), 63(fn.), 65(fn.), 66, 76, 81(fn.), 92, 99(fn.), 100(fn.), 106(fn.), 112, 135, 138, 140, 144, 151(fn.), 152(fn.), 154, 188, 196, 202, 203, 206, 207, 215, 217, 227(fn.), 229, 233(fn.), 245(fn.), 246(fn.), 257, 263, 266(fn.), 273, 279, 280, 282, 290, 291(fn.), 292(fn.), 301, 305(fn.), 308, 309, 311(fn.), 312, 313(fn.), 314, 315(fn.), 317, 318, 319(fn.), 320, 322(fn.), 328, 330(fn.), 333, 334, 335(fn.), 337, 341(fn.), 342, 346, 347, 350, 356, 357(fn.), 362, 367, 371, 373(fn.), 388, 396, 400, 401
- see also* Airport charges, Airport groundhandling
- Airport charges 58(fn.), 92, 112(fn.), 144, 152(fn.), 154, 290, 292(fn.), 301, 305(fn.), 308, 309, 311(fn.), 312, 313(fn.), 314, 315 (fn.), 317, 318, 319(fn.), 320, 322(fn.), 328, 330(fn.), 333, 334, 335(fn.), 336(fn.), 337, 341(fn.), 342, 347(fn.), 350, 357(fn.), 362(fn.), 367, 371, 373(fn.), 388
- Airport groundhandling 57(fn.), 28(fn.), 76, 81(fn.), 92, 99(fn.), 112, 140, 144, 151(fn.), 152(fn.), 188, 196, 202, 203, 206, 215, 217, 227(fn.), 246(fn.), 257, 263, 266(fn.), 273, 279, 282, 291(fn.), 301, 347(fn.), 350(fn.), 362, 380
- Altmark case 228(fn.), 248
- Amendment (of contracts)
 - need for new selection procedure 224
 - as transitional measure 45, 48-52
- Anchorage charge 322
- Appeal 2, 34, 39, 47, 50, 65, 67, 74, 145, 183, 192, 212(fn.), 214, 218, 257, 306, 311, 344, 372, 374, 380, **385-387**, 389
- duty to give reasons 386
- review by courts 387
- Applicability (temporal) *see* EU Seaports
- Regulation (entry into force and applicability)
- Assessment of Regulation 395-404
- Authorization 6, 8, 15, 17, 31, 41, 46, 65, 66, 76, 97, 112, **133**, 135, 137, 138, 140, **142**, 151, 153, 156, 159, 160, 162, 163, 164, 166, 169, 170, 173, 174, 175, 176, 178, 179, 180, 183, 184, 185, 187, **188**, 189, 191, 192, 193, 196, 198, 217, 218, **220**, 221, 226, 227
- Authorization procedure 183-193
- Authorized service provision 46, **133**, **142**, 156, 158, 159, 160, 162, 163, 166, 168, 170, 172, 174, 182, 193, 195, 196, 198, 206, 225, 257, 263, 286
- Automatism principle (minimum requirements) 187
- Autonomous interpretation 46, **61**, 261, 313
- Autonomy of port managing bodies **25**, 26, 27, 28, 29, 31, 35, 42, 58, 183, 147, 202, 254, 256, 295, **300**, **302**, 304, **305**, **306**, 309, 310, 311, 313,

317, 321, 322, 323, 324,
330, 363

Availability of service
163, 238, 239

Award criteria (selection
procedure) 65, 159, 160,
198, 215, 216, 217, 218,
221, 286

B

Berthing due 322

Blue Angel 330

Blue Belt 358

Brexit 2, 101, 109, **124**

Bunkering 2, 4, 27, 47,
50, 54, 57, 74, 75, **76**,
78(fn.), 112, 114, 126, 133,
135, 137, 144, 150, 151,
156, 162, 164, 165, 188,
196, 197, 206, 214, 229,
232, 242, 258, 283, 401

Burden of proof

limitations 195, 204,
205, **209**, **211**
minimum
requirements 181,
190

C

Cabotage 13(fn.), 57(fn.),
83, 111, 168(fn.), 233(fn.),
236(fn.), 237, 242,
246(fn.), 248(fn.),
251(fn.), 260(fn.), 329,

Cargo 78

Cargo-handling 2, 4, 8,
13, 27, 29, 37, 46, 50, 57,
58, 66, 74, 92, 114, 120,
163, 196, 197, 206, 208,
297, 300, 314, 329

change of provider
265

charges 282, 283,
295, **298**, 300, 365

definition 61, **77-90**,
130

excluded activities
88-89

exemption from
market access

Chapter 2, 34, 53,
118, **126**, 131, 137,
162, 195, 214, 235,
245, 253, 267, 283,
350, 364, 401

extension of market
access Chapter 42,
90, 118, **128**, 135, 149,
162, 165, 166, 206,
257

financial
transparency 272

own-account port
112

by port authority
254

public service
obligations 232, 233

terminal contract
196-197, 220, **226-227**

transitional regime
53

waterway access 75

Change of service
provider 263-265

Channel fee 322

Characteristics of port
infrastructure (reason to
limit number of
providers) 206

Charging policy 29, 37,
39, 47, 305, 306, 310, **311**,
318, 319, 321, 332, 345,
347, 350, 355, 362, 364,
390

Charter of Fundamental
Rights **67**, 251(fn.), 375,
386, 387

Circular 35

Clean Shipping Index
330

Collection of ship-
generated waste and

cargo residues 65, 74, 75,
93, 110, 150, 229, 294, 295

Collective action 67, 251

Collective bargaining 67,
251(fn.)

Commercial discounts 2,
339, **342**

Commercial strategy 1,
2, 31, 299, 300, 304, **305**,
306, 307, 308, 310, **311**,
313, 319, 325, 332

Commercialization 2, 11

Compensation (for
parties to amended port
service contracts) 52

Competent authority

appeal 385

complaints against
377

consultation of users
and stakeholders
348, 376, 352

definition 145

duty to separate
accounts 273

general 2, 39, 143,
144, 146, 147, 149,
170, 282, 295

opinions 383

power to act as
relevant authority
278, 296

power to set good
repute criteria 167

power to handle
complaints 372

power to grant
authorization 186

power to impose
public service
obligations 234, 249

power to introduce
limitations 199

power to introduce
minimum
requirements 143

- power to set, control or collect port infrastructure charges 299, 302, 303, **305-306**, **310**, **311**, 341, 342
- right to act as internal operator 254
- Competition for the market 11, 25, 133, 195, 254, 282, 286
- Competition in the market 11, 25, 286
- Competition authority
 - and internal operator 255, 257
 - as competition authority 145
 - as complaint handler 372, 379
 - as price regulator 282, 283, 309, 314, 348
 - as relevant authority 278, 296
 - as sanctioning authority 392
- Competition law
 - port infrastructure charges 313-314
 - port service charges 282, 293, 297
 - see also* Abuse of a dominant position
- Competitive market
 - derogation *see* Activities directly exposed to competition
- Competitive procedure
 - see* Open selection procedure
- Competitive test (selection procedure) 215
- Complaint handling 2, **366-384**
 - binding decisions 380
 - capacity of complainant 374
 - complaint handler 372
 - consultation of users 384
 - effectiveness 370
 - impartiality 366, 369, **373**, 385
 - independence 366, 367, **371**, **372**
 - information for European Commission 381
 - information for users and stakeholders 376
 - international cooperation and exchange 378, 382
 - opinions 383
 - power to request information 379
 - rationale of regime 367
 - obligation to put in place 368
 - subject-matter 369
 - territorial competence 377
 - transparency 373
- Comprehensive network ports 101, 102, 105
 - see also* Outermost regions, Small ports
- Comprehensive port management *see* Integrated port
- Concession 2, 6, 8, 10, 39, 45, **46**, 47, 51, **65**, **66**, 93, 94, 112, 115, **126**, 130, 133, 135, 137, 138, 144, 151, 154, 162, 182, 188, 195, **196**, 198, 207, 214, 218 **220**, 221, 224, 225, **226**, **227**, 228, 229, 230, 240, 246, 255, 263, 293, 295, 296, 301, 210, 310, 321, 327(fn.), 330, 350
- Concessions Directive *see* EU Concessions Directive
- Confidentiality of commercial arrangements 342, 365
- Confinement (geographical) 46, 65, 207, 252, 253, 254, 255, 257, **258**, 273
- Conflicts of interest
 - complaint handling 371
 - internal operator 2, 15, 46, 133, 195, 222, **223**, 253, 254, 255, 256, **257**, 390
- Congestion 30, 81, **202**, **328**, 329
- Consultation in preparation of EU port policy and Regulation 16, **19**, 20, 29, 40, 59, 76, 270, 280, 282, 297, 300, 313, 344, 402
- Consultation of port users and stakeholders 2, 28, 31, 37, 39, 50, 71, 74, 93, 112, 144, 212, 245, 259, 294, 333, **345-365**, 390, 402
 - on charges 47, 257, 283, 286, 310, 311, 332, 341, **347-352**, 390, 400
 - on complaints 384
 - on labour matters 67, 267
 - on limitations 194, 196, 197, 202, 208, 210, **211**, 222, 257
 - on port management-related matters 351-361
 - procedure 212, **362-363**

rationale of regime 346
 Consultation with third countries 154
 Continuity of service 8, 159, **163**, 232, 236, **238**, 242, 247, 250, 251, 254, 292
 Contract 46, 188, 220
 see also
 Authorization,
 Concession,
 Amendment (of contracts)
 Contract renewal 225
 Coordination
 of operators/services 26, 27, 28, 29, **144**, 346, 351, 354, **356**
 of port investments and planning 30, 300
 of reporting formalities 358
 Core network ports 101, 102, 105
 Criminal penalties 391
 Cross-border complaints 378, 382
 Cross-border element 33, 83, **111**, **153**, 196, 227, 301, 399
 labour relations 261
 Cruise 4, 12, **92**, 99, 105, 151, 163, 222, 315, 327
 CTV 92

D

Deadline for submissions (selection procedure) 218
 Dedicated terminal 8, **112**, 149, 154(fn.)
 Deep sea pilotage 96
 Delegated powers 34, 40, 330
 Development plan 202

Differentiation of port infrastructure charges 2, 268, 300, 305, 311, 314, 318, 319, 321, 322, **324-343**, 350, 401
 Direct applicability 35
 Direct effect 35
 Discretion (and minimum requirements) **158**, 162, 167, 174, 176, 179, **187**, **196**, **197**, 214
 Discrimination *see* Non-discrimination
 Disruption (and emergency measures) 251
 Dissuasive character of penalties 388
 Distribution of traffic 30
 Dock labour *see* Port labour
 Docking and undocking 91
 Docklands redevelopment 125
 Dredging 27, 38, 39, 74, 75, 98, 118, 120, 126, **130**, 149, 168, 229, 258
 financial transparency 269, **272**, **273**, 275
 port infrastructure charge 301, 310, 321
 Duration
 of market access right 17, 41, 65, 66, 137, 138, 250, 398
 of pre-existing port service contract 45, 47, 48, 50, 51, 53
 under limitation scheme 196, **213**, 214, 219, 221, 224, **225**, 229, 257, 286
 under minimum requirements scheme 193

E

EC Seaports
 Communication **16**, 26
 Economic importance of ports 12
 Economic strategy (differentiation of port infrastructure charges) 299, 305, 307, 311, 324, 325, **327**, 331, **332**
 Economic value criterion (charging) 293, 314
 EEA 2, 101, **123**, 168
 Effective competition (pilotage) 286
 Effectiveness (penalties) 388
 Effectiveness principle (interpretation) *see Effet utile*
Effet utile (effectiveness principle) 31, 46, 51, **62**, 65, 82, 92, 98, 111, 112, 117, 125, 132, 144, 149, 150, 160, 168, 172, 196(fn.), 197, 202(fn.), 206, 220, 222, 236, 242, 254, 258, 263, 273, 283, 303, 313, 317, 343, 350, 353, 356, 362, 363, 388, 401
 Efficiency of administrative procedures (consultation) 358
 e-Freight 358
 EFTA 2, 101, **123**
 Electricity 76
 e-maritime 358
 Emergency measures (in the case of disruptions) 251
 Entry into force *see* EU Seaports Regulation (entry into force and applicability)

- Environment 20, 26, 28, 29, 400
- authorities 4, 156
 - Birds and Habitats Directives 16, 403
 - consultations 112, 351, 353, 354, 355, **359**
 - flag requirement 168
 - internal operator 112, 257, 258
 - limitations 2, 196, 200, **205**, 208
 - minimum requirements 157, 158, 159, **165**, 167, 168, 172, 180
 - pilotage 126
 - port area 117
 - port infrastructure charges 2, 29, 40, 71, 302, 324, 325, 327, **329**, **330**, 333
 - protection (by port managing body) 8, 108
 - public service obligations 2, 232, 233, 236, 239, **241**
 - public service obligations and charging 285, 292
 - regulation 156
- Environmental Ship Index 330
- Equipment
- access to 2, 50, 51, 57, 132, 133, **134**, **135**, 136, 137, 138, 172, 189, 193, 196, 198, 216, 225, 257, 390
 - cargo-handling 78, 82
 - definition of 'managing body of the port' 144
 - definition of 'maritime port' 108, 114
 - definition of 'port infrastructure charge' 301
 - differentiation of port infrastructure charges 327, 328
 - floating equipment 162, 327
 - limitations 206
 - minimum requirements 157, 161, **162**, 163, 164, 175
 - operation by port managing body 8
 - ownership of 46
 - port workers 266, 267
 - public service obligations 241
 - single-user port 112
 - subcontracting 140, 151
- Employee rights 56, **259-267**
- Essential facilities 46, 112, **135**, 136, 202, 227, 301
- EU Concessions Directive 8, 23, 29, 45, 51, **65**, **66**, 93, 115, **126**, 133, 144, 154, 159, 160, 161, 162, 167, 168, **188**, 207, 211, **214**, 215, 217, 218, **220**, 221, 225, **226**, **227**, 230, 253, 255, 261, 262, 286, 401
- EU Public Procurement Directives 28, **65**, **66**, 93, 115, 124, 130, 140, 144, 149, 154, 159, 160, 161, 167, 168, 195, 211, 214, 215, 217, 218, **220**, 221, **226**, **227**, 228, 229, 254, 255, 261, 262, 263, 286, 401
- see also* Activities directly exposed to competition (competitive market derogation), Teckal case
- EU seaport policy (history of) **13-17**, 395-396
- EU Seaports Regulation
- critical assessment 395-404
 - entry into force and applicability 44
 - interpretation 59-63
 - legal basis 54-58
 - legislative process 18-24
 - objectives 25-31
 - policy packages 29
 - transitional measures 45-53
 - see also* Direct applicability, Direct effect, Supremacy of Regulation
- EU Services Directive 42, 51, **54**, 58, **65**, 111, **142**, 149, 153, 156, 159, 172, 173, 174, 175, 176, 178, 180, 186, 187, 188, 189, 191, 192, 193, 195, **196**, **197**, 198, 206, 208, 215, 216, 219, 225, 388, 399
- passenger terminal services 92
- EU TEN-T Regulation 1, 26, 38, **100**, **101**, **102**, **104**, **105**, **106**, **107**, 108, **110**, 112, 113, 114, 115, 116, 117, 123, 125, 136, 222, 245, 275, 298
- European Commission
- Q&A guidance 64

tasks and powers of
40

European Ports Forum
70, 330

Eurostat 12, 92, 100, 101,
104, 105, 110, 113, 114,
116, 117, 125, 222,
329(fn.)

Exclusive right

- exclusive land use
right 2, 46, 136, 137,
188, 195, 196, 197,
198, 202, 206, 221,
229
- financial
transparency 271
- general 2, 26, 27, 43,
66, 91, 94, 96, 135,
138, 139, 144, 166,
195, 196, 203, 204,
206, 229, 230, 240,
241, 245, 247, 257,
267, 396, 401
- internal operator
257, 285
- port infrastructure
charges 313, 314
- port service charges
282, 285, 286, 297,
314
- public service
obligation 204, 240,
245, 247

Exhaustive character of
Regulation 42, 219

Extension to other ports
122

Extension to other
services 127, 128, 129

- see also* Cargo-
handling (extension
of market access
Chapter)

External costs 2, 299,
300, 317, **321**, **338**

External supply *see*
Subcontractor

F

Fair, reasonable and
non-discriminatory port
access 138

Ferry

- port 13, 101, 106,
117, 163, 166, 202,
206, 222
- port infrastructure
charges 314, 327, 337
see also Port of
Helsingborg case
- services 242, 245
- terminal 74, **92**, **112**,
149
- traffic 13

Fidelity discount 337

Financial capacity of
service provider 157, **161**

Financial objectives of
port managing bodies
307

Financial transparency
see Transparency (public
funding)

Fishing port 113, 125

Flag requirement 38, 65,
156, 166, **168**, 256

Floating equipment 162,
327

Formalities (consultation
on) 358

FRAND 138

Freedom of association
67

Freedom of
establishment 2, 47, 48,
52, **54**, 55, 57, 58, 67, 73,
111, 112, **132**, 139, 140,
179, 206, 258

Freedom to provide
services 2, 25, 26, 29, 43,
54-58, 62, 66, 67, 83, 112,
123, **132**, 134, 139, 140,
149, 152, 168, 179, 180,
206, 258, 399, 401

Frequently Asked
Questions 2

French Seamen's case 13

Full service port *see*
Integrated port

Functional separation
(complaint handling)
371, 372

G

GBER 2, 144, 162, 220,
229, **280**, 301, 403

General Block
Exemption Regulation
see GBER

General port access
paragraph 51, 132, **134-138**, 140, 155, 156, 160,
162, 168, 172, 175, 189,
193, 196, 197, 198, 202,
216, 225, 257

General ports policy
(port infrastructure
charges) 304, **305**, **306**,
309, **311**, 324, 327, 332,
390

Geographical
confinement *see*
Confinement
(geographical)

Gold-plating **42**, 65, 254

Good repute 167

Green Award Certificate
330

Green Paper on Seaports
and Maritime
Infrastructure **14**, 17, 31,
63, 160, 267, 270, 282,
286, 289, 297, 300, 302,
318, 321, 398

Groundhandling *see*
Airport groundhandling

H

Harbour Master 4, 8,
126, 144, 356, 361

- Hinterland connections (consultation) 357
- Hoffmann-La Roche case 337
- Human rights 67, 267, 380, 385
- I**
- Ice(breaking) dues 301, 322
- Ideology 57
- ILO Dock Work Convention 267
- Impact Assessment 20, 27-28
- Impartiality
 - cargo and passenger terminal contracts 227
 - general 67
 - internal operator 253, 257
 - minimum requirements 189
 - selection procedure 216, 218
 - see also* Complaint handling (impartiality)
- Implementation Plan 69
- Import and export (cargo-handling) 82, 83
- Independence (of complaint handler) *see* Complaint handling (independence)
- Independent supervisory body 34, 253, 282, 285, 321, 367
- Industrial port 8, 112, 117
- Infrastructure (notion) 135, 144, 229, 301
- In-house services *see*
- Internal operator,
 - open selection procedure 195, 221, 222, 223, 253, 257, 286
 - penalties 390
 - port service contract 46, 50, 51
 - public service obligations 239, 241, 245
 - rationale of regime 253
 - right to act 252, 254
 - single-user port 112
 - subcontracting 65, 140, 151
 - see also* Confinement (geographical), Open port duty
- Internal service
 - provision 46, 65, 133, 170, 223, 232, 252-258, 390
 - rationale of regime 253
- Internal taxation 301, 304, 313, 316, 320, 321, 335
- International law 2, 68, 93, 139, 152, 245, 327
- International Statute of Maritime Ports 110, 124, 139, 318, 320, 364
- Inter-port competition 25, 29, 30, 31, 46, 124, 126, 202, 207, 222, 257, 258, 270, 318, 400
- Interpretation *see* EU Seaports Regulation (interpretation)
- Intra-port competition 25, 31, 46, 124, 126, 257, 270
- Investment plan 2
 - port infrastructure charges 31, 299, 300, 304, 305, 306, 308,
- Internal service
 - provision
- Inland port 110
- Institutional autonomy 145, 147, 278, 372
- Integrated port 8, 74, 124, 201, 245, 253, 254, 257, 258
- Integration of charges 281, 290, 295, 299, 303, 305, 310, 311, 318, 390
- Internal operator 1, 2, 8, 37, 46, 111, 131, 132, 133, 135, 138, 140, 144, 170, 252-258
 - appeal 385
 - charges 239, 253, 281, 282, 284, 285, 286, 292, 293, 303, 317, 347, 348, 350, 364
 - combination with minimum requirements 256
 - conflicts of interest 252, 257
 - consultation on charges 347, 348, 350
 - control criterion 255
 - definition 252, 254
 - disruption 251
 - dredging 130, 273
 - essential facilities 135
 - financial transparency 272
 - information on charges 364
 - legally distinct entity 255
 - military port 115
 - minimum requirements 170, 175
 - monopoly 182, 203, 206, 207, 252, 257

310, **311**, 312, 313,
319, 325, 332, 392

Island traffic 119, 242,
243, 315

K

Klaipėda (State Seaport
of Klaipėda) case 46, 47,
48, 50, 52, 66, 195, 224,
225

L

Labour 2, 9, 15, 16, 19,
26, 29, 39, 67, 117, 126,
151, **259-267**, 354, 361,
390, 403

minimum
requirements 157,
158, 159, 164, **166**,
167, 168, 180

public service
obligations 244, 251

Labour Inspectorate 4,
166, 354, 361

Labour law *see* Social
and labour law

Labour pool 2, 9, 67, **267**

Land, legal status of 6

Landlord port 2, 8, 11,
31, **66**, 144, 147, 188, 196,
254, 301, 305

Land use *see* Exclusive
right (exclusive land use
right)

Language versions 59

Lashing (cargo-
handling) 84, 86, 87

Lease 2, 6, 8, **46**, **47**, **48**,
50, 52, 65, **66**, 112, 135,
137, 140, 144, 188, 195,
196, 198, 214, 217, **220**,
221, **227**, 228, 246, 263

land lease rates 301,
350

Legal basis *see* EU
Seaports Regulation
(legal basis)

Legislative process *see*
EU Seaports Regulation
(legislative process)

Legitimate interest
(complainant) 374

Leipzig-Halle case 280

Liberalization 2, **11**, 13,
14, **56-57**, 62, 76, 111, 114,
124, 126, 135, 152, 182,
232, 236, 253, 267, 396,
400, **401**

Licence 8, 10, 43, 46, 54,
65, 66, 76, 96, 112,
126(fn.), **133**, 137, 138,
142, 144, 150, 151, 156,
160, 162, 169, 178, 179,
185, 187, **188**, 196, 198,
206, 217, **220**, **227**, 246,
247, 255, 301

see also

Authorization

Licensed subservices *see*
Subcontractor

Light dues 301, 303, 322

Limitation on the
number of providers 2,
51, 56, 57, 65, 145, 187,
193, **194-230**

combination with
minimum
requirements 198

internal operator 257

notion 196-197

permissible reasons
200-207

period of validity
213

port service charges
286, 287

power to introduce
199

procedure 210-212,
214-224

public service
obligations 204, 233,
237, 240, 241, 246

rationale of regime
195

small ports 120, **222**

substantive
requirements 208

transitional measure
51

Literal interpretation 59

Local knowledge 126,
182

M

Managing body of the
port 2, 7, 8, **144**, 145, 147

financial autonomy
305-306, 309, 311

financial objectives
307

minimum
requirements for
port managing
bodies 170

see also Consultation
of port users and
stakeholders,
Internal operator,
Transparency
(public funding)

Manning requirements
133, 157, **166**, 168

Marginal cost pricing
300, 312, **321**

Marina *see* Recreational
port

Maritime policy (Treaty
basis) 54, 55, 58

Maritime port
(definition) 108

see also Inland port

Maritime ports covered
2, 73, **101**

Market access 1, 2, 11,
15-17, 25-29, 31, 35, 36,

- 37, 38, 42, **54-57**, 58, 62, **132**, **133**, 396, 399
- Market organization models 2, **133**
- Market size criterion (limitations) 206
- May v. shall provisions 38
- Member State liability 393
- Member States
- decisions left up to 38, 39, 146
 - non-maritime 103
 - notion 146
- Merci case 13(fn.), 233(fn.), 297(fn.), 314(fn.)
- Military port *see* Naval port
- Minimum of two providers **203**, 206, 255, **257**
- Minimum requirements 1, 2, 37, 39, 132, **133**, **141-193**
- burden of proof 181, 190
 - changes 184
 - combination with internal service provision 256
 - combination with limitations 198
 - combination with public service obligations 247
 - discretion of port managing body 158
 - exhaustive character of list 159
 - general requirements 156
 - local knowledge 182
 - non-duplication 180
 - optional character 155
 - port regulations 156
 - port service contract 46
 - power to introduce 143
 - procedure 185-193
 - publication 183
 - rationale of regime 142
 - sub-contracting 169
 - subject-matter 157-168
 - substantive requirements 171-177
 - see also* Territorial validity (minimum requirements)
- Monopoly 16(fn.), 29, 182, 195, 204, 206
- bunkering 133, 206
 - cargo-handling 206, 233
 - incumbent 162
 - internal operator 256, 257, 258
 - mooring 10, 195
 - pilotage 10, 286
 - port labour 9, 67, 267, 314
 - pricing 282, 286, 293, 297
 - towage 10, 195
 - see also* Exclusive right
- Mooring
- access to facilities 135
 - authorization 133, 187
 - compulsory mooring 10, 43, 91
 - concession 65, 224
 - consultation on charges 350
 - consultation on coordination 356
 - definition 10, **91**
 - equipment requirements 162
 - flag requirement 38, 65, 166, **168**
 - general 2, 4, 8, 15, 27, 37, 54, 57, 98, 144
 - geographical confinement 258
 - inland vessels 91, 110
 - integrated ports 207
 - knowledge of local conditions 182
 - locks 91, 149, 295, 301
 - monopoly 91, 133, 195, 196, 206, 207, 267
 - port infrastructure charges 327
 - professional qualifications 160
 - public service obligations 133, **204**, 232, 233, 241, 242
 - safety aspect **91**, 94, 97, 133, **164**, 241
 - self-employed mooring men 148, 160
 - self-handling 112, 149
 - service of general economic interest 204, 233
 - shifting **91**, 97
 - shipyard 91
 - single-user port 112, 144
 - subject to market access Chapter 74, 126, 137, 197, 401

- tariffs 204, 286, 295, 301, 317
- traffic rules 156
- training 160
- transitional measures 47, 50
- waterway access 75, 91, 98
- Mortgage (ship) 168
- Museum harbour 125
- Mutual recognition 158, 160, 164, 166, **179**, 180
- N**
- National law (matters left to) 37
- National parliament *see* Parliament (power to set port infrastructure charges), Subsidiarity principle
- National ports authority 145, 178, 308
- National ports policy *see* General ports policy (port infrastructure charges)
- Nationality of service provider 152
- Nationality of service recipient 152
- Nature of port traffic (reason to limit number of providers) 206
- Naval port 115, 125, 254, 327
- Naval ship 115, 327
- Negotiations (selection procedure) 138, **214**, **218**
- Non-commercial subareas 115, 118, **125**
- Non-discrimination
 - access 138
 - internal operator 256, 257
 - minimum requirements 175, 189
 - port infrastructure charges 317, 320, 335, 342
 - port service charges 292
 - public service obligations 239, 248
 - selection procedure 216
- Non-duplication (minimum requirements) 158, 164, 165, 166, 176, **180**, 190
- Non-EU providers 152, 154, 248
- NUTS 105
- O**
- Objectives *see* EU Seaports Regulation (objectives)
- Objectivity
 - minimum requirements 158, 162, 163, 167, 168, **174**, **189**
 - port infrastructure charges 319, 334
 - port service charges 291
- Official Journal 212, 217
- Open port duty 235, **245**
- Open selection procedure 2, 31, 42, 133, 135, 138, 160, 188, 194, 195, 196, 197, 202, 206, 207, 210, 211, **214-225**, 257, 272, 398, 400, 401
 - amendments to existing contracts 224
 - competitive test 215
- Directives on public procurement and concession 65
 - exemptions **221-223**, 251, 253, 254, 255, 256, 257
 - minimum requirements 198
 - national rules 219
 - penalties 391
 - pricing 282, 286, 287, 288, 350
 - transitional regime 51
- Openness (selection procedure) 216
- Outermost regions 105, 118, **119**, 120, 242, 243, 329
- Outsourcing *see* Subcontracting
- Own-account port 8, 46, **112**, **149**, 254
- Ownership *see* Property (right of)
- P**
- Parliament (power to set port infrastructure charges) 302, 305, 306, 309, 311
- Passenger charge 301, 322
- Passenger services
 - charges 283, 295
 - definition **92**
 - EU Services Directive 92
 - exemption from market access Chapter 17, 41, 55, 57, 112, 115, 118, **126**, **131**, 137, 149, 195, 196, 202, 214, **226**, 229, 235, 242, 245,

- 251, 253, 265, 267,
350, 364, 401
- extension of market
access Chapter 42,
128, 135, 166, 196
- general 2, 4, 8, 9, 15,
27, 29, 37, 66, 208,
301, 317
- public service
obligations 232, 245
- subcontractors 151
- subject to Regulation
74
- transitional regime
46, 50, **53**
- waterway access 75,
98
- Passenger tax 315, 327
- Passenger terminal
contract 66, 188, 195, 196,
197, 220, **226-227**, 401
- Penalties 1, 2, 37, 38, 42,
43, 47, 49, 65, 74, 133,
138, 183, 191, 218, 257,
258, 266, 296, 343, **388-393**
- duty to introduce
388
- good repute
(minimum
requirements) 167
- infringements 390
- offenders 389
- pilotage (port
service charges) 290
- port infrastructure
charges 323, 326
- sanctioning
authorities 392
- types 391
- Permission 188, 198, 220
- P&I cover 161
- Pilotage 2, 5, 8, **10**, 15, 17,
27, 38, 42, 43, 74, 110, 401
- charges 281, **282**,
283, 284, **286**, 287,
289, 290, 292, 293,
297, 301, 303, 317,
337, 350, 364
- compulsory pilotage
10, 43, 94
- coordination 144,
356
- definition 61, **94-96**
- exemption from
market access
Chapter of 53, 118,
126, 131, 137, 195,
214, 235, 245, 251,
253, 265, 350, 364,
401
- extension of market
access Chapter 127
- financial
transparency 272
- general 4, 8, 10, 74
- inland vessel 94, 110
- internal operator 254
- local knowledge 126,
154, **182**
- pilot boats 150, 151
- pilot helicopter 150,
151
- port area 117
- privacy 394
- public service
obligations 232
- qualifications 126,
160
- selection of
providers 197, **230**
- self-employed 148
- single-user port 112
- training 126, 160
- transitional regime
46, 50, 53
- waterway access 75,
95, 98
- see also* Exclusive
right, Monopoly
(pilotage)
- Pilotage Exemption
Certificate 126, 329
- Place of establishment
(of service provider) 152
- Place of refuge *see* Port
of refuge
- Policy Packages *see* EU
Seaports Regulation
(policy packages)
- Port *see* Economic
importance of ports,
Inland Port, Maritime
Port, Maritime ports
covered
- Port access *see* Access for
ships, Access to port
facilities, installations
and equipment, General
port access paragraph,
Open port duty
- Port area 74, 75, 76, 82,
91, 92, 93, 95, 97, 98, 108,
112, 113, 114, 115, 116,
117, **125**, 130, 144, 163,
196, 206, 258, 301, 327,
356, 357
- Port authority *see*
Managing body of the
port
- Port dues *see* Port
infrastructure charge
- Port governance models
5-11, 109, 147
- see also* Toolbox
- Port group 104
- Port infrastructure
(notion) *see*
Infrastructure (notion)
- Port infrastructure
charge 1, 2, 8, 28, 29, 31,
268, 282, **299-343**
- carbon efficiency 330
- categories of users
327
- collection 310, 323
- commercial practices
138, 229, 292, **339**

commercial strategy 311
competition law 58, 293, **313**, **314**, **337**
confidentiality 342
consultation 245, **350**
differentiations 324-342
direct effect of Regulation 35
economic strategy 327
efficient use of infrastructure 328
energy efficiency 330
environment 330
exemption from 115, 116
exhaustive list of differentiations 324, 325
external costs 321, 338
fiscal character 34, 301, 311
free movement 315
incentives 326
individual negotiations 339, 342
information
provision to relevant authorities 39, **343**
information
provision to users 39, **341**
integration in other payments 39, 283, **303**
internal taxation 316
notion 301
obligation to levy charge 37, 38, **302**
penalties 323, 326

persons liable for payment 301, 302, 323
power to set tariff 147, **305-306**
pre-financing 312
procedural and substantive requirements 304-321, 340
progress indicators 71
rationale of regime 282, **300**
short sea shipping 329
single-user port 112
spatial planning 327
State aid law 280, 313, 314, 337
structure and level 322
supervision 309
tax 301, 311
terms & Conditions 323
zero rates 327
see also Investment plan (port infrastructure charges)
Port labour 2, 9, 16, 19, 67, **267**, 297, 314, 403
Port management models 7, 8, 34, 109, 147
Port managing body *see* Managing body of the port
Port of Helsingborg case 293, 314, 318
Port of refuge 116, 125, 327
Port Package I 15
Port Package II 15
Port Package III 17

Port policy *see* EU seaport policy (history of), General ports policy (port infrastructure charges)
Port reception facilities **93**, 126, **294**
see also Collection of ship-generated waste and cargo residues
Port regulations 4, 8, **43**, 112, 133, **137**, 140, 155, **156**, 160, 162, 164, 167, 168, 184, 186, 198, 219, 246
Port service charges 1, 2, 268, **281-298**
competition law 293, 297
consultation 245, **350**
direct effect of Regulation 35
information
provision to authorities 296
information
provision to users 364
integration into other payments 39, 149, 283, 290, **295**
notion 283
public procurement 65
rationale of regime 282
scope of regime 284-287
sector-specific regulation 297
single-user port 112
subcontracting 151
substantive requirements 31, **288-294**, 298

- TEN-T cargo terminals 298
- transitional regime 47, 50
- waterway access 98
- Port service contract (definition) 46
- Port services
- categories 74, 150
 - general explanation 4
 - outside the scope 73
 - services covered 2, 74
- Port State Control 10, 126, 354, 361
- Port user 136, 349, 353
- Port users' committee 34, 346, 362
- Port Working Group 13
- PPP *see* Public-private partnership
- Pre-emptive effect of Regulation 41
- Pre-financing 312
- presetext case 224
- Price regulation 159, 204, 250, 254, 282, 284, 285, 286, **297**, 300, 309
- Privacy 394
- Private port 5, 6, 8, **109**, 112, 121, 124, 144, 145, 147, 196, **207**, 227, 245, 253, 254, 258, 272, 300, 308, 389
- Privatization 2, 6, **11**
- Processing (cargo-handling) 85
- Professional qualifications 157, **160**, 163, **179**, 180, 182, 260, 267(fn.), 398
- Progress reporting 71
- Promoinpresa case 188, 196, 197
- Property (right of) 6, 37, 47, 48, 50, 52, 67, 147, 195, 221, 253
- see also* Public domain
- Proportionality
- EU law-making **32-34**, 102, 106, 126
 - internal operator 257
 - limitations 195, 205, 206, **208**, 218, 222, 229
 - measures to ensure free movement of goods 251
 - minimum requirements 158, 160, 161, 162, 163, 164, 167, 168, **176**, **189**, 191, 193, 256, 390
 - national implementation measures 35
 - penalties 49, 138, **388**, 389
 - port infrastructure charges 300, 304, 305, 312, 314, **317**, **321**, **336**
 - port service charges 2, 47, 245, 268, 281, 282, 283, 284, 286, 288, **289**, **293**, 295, 296, 297, 390
 - public service obligations 238, **248**
 - restrictions on free movement 54,
 - transitional regime 47, 52
- Provider of port services (notion) 148-151
- see also* Internal operator, Port services
- Public v. private sector 5, 109, 147
- see also* Privatization
- Public contract 39, 50, 65, **66**, 140, 149, **188**, 217, **220**, 224, **226**, 227, 263
- Public domain 6, 46, 220, 305
- Public procurement *see* EU Public Procurement Directives
- Public quay 135, 188, 196, 202
- Public service compensation 248, **250**
- financial transparency 235, 245, 269, 270, 273, **279**, 280
- Public service obligation 1, 2, 5, 8, 10, 29, 37, 38, 39, 65, 66, 111, 131, 132, 133, 163, 204, **231-251**, 398, 401
- combination with minimum requirements 158, 162, **163**, **247**
 - consultation 348, 350
 - emergency measure 221, 251
 - exhaustive character of list 42, **237**
 - general port access paragraph 137, 138
 - general public service principles 233
 - internal operator **254**, 255, **285**, 257, 258
 - limitation on number of providers 200, 201, **204**, 206, 287
 - nationwide 249
 - notion 233

- obligors 235
- penalties 390
- port regulations 43, 246
- power to impose 234
- price regulation 159, 240, 281, 282, 284, 285, 286, 287, 292, 293, 301, 317, 364
- procedure 246
- rationale of regime 232
- single-user port 112
- subject-matters 236-243
- substantive requirements 248
- transitional regime 50
- Public-private partnership 6, 11, 154, 258
- Public service provision 133, 247
 - see also* Public service compensation, Public service obligation
- Publication
 - limitations 211-212
 - minimum requirements 183
 - port infrastructure charges 318, 342
 - port service charges 290, 364
 - selection procedure 217, 218
- Q**
- Q&As (European Commission) 64
- Quasi in-house services *see* Internal operator
- Internal service provision
 - Quid-pro-quo* rule
 - port infrastructure charges 301, 317, 321
 - port service charges 289
- R**
- Rail access fees 108, 301
- Reciprocity with non-EU countries 154
- Recreational port 114, 125
- Refusal (minimum requirements) 191, 192
- Regulated service provision 133, 155, 156, 160, 164, 168, 176, 186, 196, 286
- Regulation (choice of) 36
- Regulation of ports (drivers of) 3
- Regulatory toolbox *see* Toolbox
- Relevance (minimum requirements) 177
- Relevant authorities 277, 278, 296, 343
- Remuneration
 - element of service provision 46, 48, 112, 114, 115, 126, 130, 148, 149, 151
 - right of access 138
- Renewal (of contract) 225
- Repackaging (cargo-handling) 88
- Report (to Parliament and Council) 71
- Right of access for ships *see* Access for ships
- Right to freely conduct business 57, 67, 375
- Right to strike 251
- Road tolls 108, 138(fn.), 301
- Rule of reason 54, 132, 133, 140, 164, 176
- S**
- Safety 2, 4, 5, 8, 10, 15, 19, 28, 43, 78, 87, 91, 94, 97, 108, 112, 117, 126, 130, 133, 138, 230, 398, 401
 - consultation 353, 361
 - internal operator 257, 258, 285
 - limitation 196, 202, 204, 205, 206, 208
 - minimum requirements 155, 157, 158, 159, 160, 163, 164, 167, 168, 180, 181, 182, 184
 - penalties 390
 - port infrastructure charges 321
 - port service charges 285, 289, 292
 - public service obligations 232, 233, 236, 239, 241, 244, 250, 285, 292
 - social clauses 259, 260, 266, 267
- Salvage 97, 130
- Scarcity 2, 37, 124, 135, 188, 195, 196, 197, 200, 201, 202, 206, 211, 214, 220, 225, 229, 257, 258, 286
 - transitional regime 46, 47, 48, 50, 51
- Sea transport (and EU port policy) 54, 55, 58
- Security 2, 3, 5, 28, 43, 99, 115, 117, 126, 130, 151, 154, 157, 158, 159, 164, 180, 181, 204, 205,

- 221, 232, 233, 236, 239,
241, 257, 258, 280, 285,
289, 292, 317, 361
- Selected service
provision 46, **133**, 135,
142, 188, 195, 196, 197,
198, 213, 222, 225, 232,
237, 253, 256, 257
- Selection criteria
(selection procedure)
159, 198, 215, 218
- Service of general
economic interest 11, 42,
67, 195, 204, 221, 230,
232, **233**, 240, 243, **250**,
253, 271, 279, 282, 289,
293, 297, 313, 337, 401
- Service port *see*
Integrated port
- Shifting (of vessels) 91,
94, 97
- Short sea shipping 2, 16,
25, 111, 126, 267, 282,
300, 324, 325, 327, **329**,
335, 358
- Single-user port 8, 112,
254, 257
- Small contracts (open
selection procedure) 221,
222
- Small ports 118, **120**, 221,
222, **275**
- Social and labour law 39,
158, 159, 164, **166**, 167,
168, 244, 260, **261**, **262**,
390
- Social dialogue 267
- Solidarity principle (port
service charges) 293
- Spanish Strawberries
case 251
- Spatial planning
(consultation) 360
- Spatial planning (policy)
332
- State aid 2, 228, 229, 313,
314, 337, 403
- Storage (cargo-handling)
87
- Stowing (cargo-
handling) 84, 87
- Strike 238, 251, 267, 401
- Stripping 88
- Stuffing 88
- Subcontracting 65, **140**,
151, 162, **169**
- Subsidiarity principle
32-34
- Supervision
competent authority
145
port infrastructure
charges 309
see also Independent
supervisory body
- Supremacy of
Regulation 35
- T**
- Tariff
consultation 350, 355
port infrastructure
charges 318, 319, 320
port service charges
283, 290, 291, 292,
364, 365
- Tariff differentiations
(port infrastructure
charges) 324-342
- Technical-nautical
services 4, 10
- Teckal case 255(fn.)
- Telaustria case 216(fn.),
226-227
- Teleological
interpretation 62
- Tendering (cruise) 75,
92, 99, 151
- Tendering (selection
procedure) *see* Award
criteria (selection
procedure), Open
- selection procedure,
Selection criteria
(selection procedure)
TEN-T 1, 26, 27, 28, 29,
42, 55, 71, **100**, **101**, **102**,
103, **104**, **105**, **106**, **107**,
108, **110**, 111, 112, 113,
114, 115, 116, 117, 120,
122, 123, 125, 136, 154,
221, 222, 227, 267, 270,
271, 275, 300, 396
see also EU TEN-T
Regulation
- Terminal contract 66,
226, 227
- Termination (minimum
requirements) 193
- Terms of access (general
port access paragraph)
134, 137
- Territorial cohesion
(public service
obligations) 232, 233,
236, 424, **243**
- Territorial validity
(minimum
requirements) 163(fn.),
175, **178**
- Time limit for decision
(minimum
requirements) 191
- TiSA 154
- Tool port 8, 11
- Toolbox 38, 39, 124, 147,
163, 196, 238, 263, 400
- Towage
access to the port
135, 155
authorization 133
charges 282, 286,
301, 317, 350
complaint 377
compulsory towage
10, 43, 97
concession 65, 133,
224, 225
definition 61, **97**

equipment
 requirements 162
 flag requirement 38, **168**
 general 2, 4, 8, 10, 15, 27, 43, 54, 57, 197
 free market access 155
 geographical confinement 258
 inland vessel 97, 110
 local knowledge 182
 monopoly 10, 133, 195, 196, 206, 207, 267
 port area 117
 public service obligations 163, 232, 239, 240, 241, 245
 safety 91, 94, 97, 133, 164
 self-handling 149
 service of general economic interest 233
 shifting 91
 single-user port 112, 144, 214
 subject to Regulation 74, 126, 137, 401
 traffic rules 156
 transitional regime 47, 50
 waterway access 75, 97, 98
see also Salvage
 Training 2, 19, 47, 56, 126, 164, 179, 182, 244, 259, 260, **266**, 267
 TRAN Report 23
 Trans-European transport network *see* TEN-T
 Transfer of undertaking **263**, 264, 265

Transit (cargo-handling) 82, 83
 Transitional measures *see* EU Seaports
 Regulation (transitional measures)
 Transparency
 complaint handling 373
 minimum requirements 31, 158, 162, 167, 168, **173**, **189**
 port infrastructure charges 317, 318, 333
 port service charges 290, 298
 public funding 58, **269-279**
 public service obligations 248
 selection procedure 216
 Transparent (port) competition **31**, 48, 62, 195, 197, 257, 300, 311
 Transporting (cargo-handling) 87
 Transport services (public service obligations) 242
 see also Sea transport (and EU port policy)
 Travaux Préparatoires 63
 Treaty-consistent interpretation 57, **60**
 Treaty exceptions 42
 see also Rule of reason
 Trilogue (meaning) 18, 23

U
 Unbundling 2, 147, 273

United Brands case 293, 314
 Universal service obligation 232, 236, 237
see also Public service obligation
 Universality (public service obligations) 238
 Unlashing (cargo-handling) 86, 87
 Unregulated service provision **133**, 155, 156, 176, 196, 286
 Urgency (open selection procedure) 221
 User-pays 300, 301, **302**, 321

V
 Value-added services (cargo-handling) 88
 Vessel Traffic Service 4, 8, 94, 144, 354, 356
 VTS charge 301, 313(fn.), 322, 336, 337

W
 Warehousing (cargo-handling) 88
 Waste reception facilities *see* Collection of ship-generated waste and cargo residues
 Waterborne vessels 61, 76, 77, **79**, 82, 85, **91**, **92**, **94**, **97**, 108, **110**, 113, 114, 115, 116, 130(fn.), 302
 Waterway access 74, **75**, 76, 79, 82, 91, 92, 93, 94, 95, 97, **98**, 110, 117, 127, 130, 182, 301, 310, 356
 Wharfage 322
 Works concession 6, 8, 66, 130, 154, 220, 295, 310
 World Ports Climate Initiative 330

Wreck removal 130

Y

Yachting harbour *see*

Recreational port